

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	David R. Tushie et al.	Examiner:	Mary Da Zhi Wang Cheung
Serial No.:	09/416,252	Group Art Unit:	3621
Filed:	October 12, 1999	Docket:	457.003US3
Title:	SYSTEM AND APPARATUS FOR SMART CARD PERSONALIZATION		

INFORMATION DISCLOSURE STATEMENT

MS RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 *et. seq.*, the enclosed materials are brought to the attention of the Examiner for consideration in connection with the above-identified patent application. Applicants respectfully request that this Information Disclosure Statement be entered and the documents listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to the provisions of MPEP 609, Applicants request that a copy of the 1449 form, initialed as being considered by the Examiner, be returned to the Applicants with the next official communication.

Pursuant to 37 C.F.R. §1.97(b), it is believed that no fee or statement is required with the Information Disclosure Statement.

The Examiner is invited to contact the Applicants' Representative at the below-listed telephone number if there are any questions regarding this communication.

Respectfully submitted,

DAVID R. TUSHIE ET AL.

By their Representatives,

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Date

Aug. 1, 2006

By

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CERTIFICATE UNDER 37 CFR 1.8 The undersigned hereby certifies that this correspondence is being filed using the USPTO's electronic filing system EFS-Web, and is addressed to: MS RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 1st day of August 2006.

ANN MCCRACKIN  
Name

Ann M. McCrackin  
Signature

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a notice of burden.

Substitute for form 1465A-PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
(Use as many sheets as necessary)

Complete if Known

<b>Application Number</b>	09/416,252
<b>Filing Date</b>	October 12, 1999
<b>First Named Inventor</b>	Tushie, David
<b>Group Art Unit</b>	3621
<b>Examiner Name</b>	Cheung, Mary

Sheet 1 of 1

Attorney Docket No: 457.003US3

**US PATENT DOCUMENTS**

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Filing Date If Appropriate
	US-4,747,706	05/31/1988	Duea, D.	08/17/1987

**FOREIGN PATENT DOCUMENTS**

Examiner Initials *	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	1 <sup>2</sup>
	WO-8901413	02/23/1989	Duea, D. R.	

**EXAMINER****DATE CONSIDERED**

Supplemental Disclosure Statement Form (PTO-1449)

\* EXAMINER Initial if reference considered, whether or not citation is in conformance with MPEP 502. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant. 1 Applicant's unique station designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.